

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vincinia 22313-1450 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/637.212	08/08/2003	2812	750	5077-000174	15	14	2

CONFIRMATION NO. 4086

27572 HARNESS, DICKEY & PIERCE, P.L.C. P.O. BOX 828 **BLOOMFIELD HILLS, MI 48303**

FILING RECEIPT *OC000000011209894*

Date Mailed: 11/07/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Yoshihiro Hara, Osaka, JAPAN; Akira Asai, Osaka, JAPAN; Gaku Sugahara, Nara, JAPAN; Haruyuki Sorada, Osaka, JAPAN; Teruhito Ohnishi, Osaka, JAPAN;

Assignment For Published Patent Application

MATSUSHITA ELECTRIC INDUSTRIAL CO., LTD., Osaka, JAPAN;

Domestic Priority data as claimed by applicant

This application is a CIP of PCT/JP03/00141 01/09/2003

Foreign Applications

JAPAN 2002-002033 01/09/2002

If Required, Foreign Filing License Granted: 11/05/2003

Projected Publication Date: 02/12/2004

Non-Publication Request: No

Early Publication Request: No

Title

Semiconductor device and method for fabricating the same

Preliminary Class

438

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:

10/637,212

Filing Date:

August 8, 2003

Applicants:

Yoshihiro Hara et al.

Group Art Unit:

2812

Examiner:

not yet known

Title:

Semiconductor Device and Method for Fabricating the

Same

Attorney Docket:

5077-000174

Office of Initial Patent Examination's Filing Receipt Corrections

P.O. Box 1450

Alexandria, Virginia 22313-1450

REQUEST FOR CORRECTED FILING RECEIPT

Sir:

We acknowledge receipt of the Official Filing Receipt for the above-identified application. However, the Domestic Priority data as claimed by applicant is incorrect and should be a Continuation instead of a Continuation-in-Part. Please correct the Domestic Priority data to read: **This application is a CON of PCT/JP03/00141 01/09/2003.** (A copy of the Filing Receipt is enclosed for your convenience.)

Accordingly, would you please correct your records and issue a corrected Filing Receipt.

Dated:

APML 30, 2004

HARNESS, DICKEY & PIERCE, P.L.C.

P.O. Box 828

Bloomfield Hills, Michigan 48303

(248) 641-1600

Respectfully submitted,

By: _

Timothy D. MacIntyre Reg. No. 42,824

PTO/SB/21 (02-04)

. 7	aperwork Reduction Act of	i 1995, no person	U.S. Pate is are required to respond to a co	ent and Trade	lemark Of	or use through 07/31/2006. OMB 0651-0031 office: U.S. DEPARTMENT OF COMMERCE in unless it displays a valid OMB control number.			
ADEMAS TRAN	COLUTTAL		Application Number		10/63	37,212			
	NSMITTAL	Filing Date		Augu	st 8, 2003				
Г	FORM	First Named Invento	r	Yoshi	ihiro Hara et al.				
(to be used for all cor	rrespondence after initia	al filing)	Art Unit		2812				
		1	Examiner Name						
Total Number of Pages	in This Submission	,	Attorney Docket Nur	mber	5077-	-000174			
		ENCLO	SURES (check all that a	apply)					
Fee Transmittal Fo	orm	☐ Drawing	After Allows			fter Allowance Communication to roup			
Fee Attached		Licensi	Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences				
Amendment / Repl	ily	Petition			Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)				
After Final		Petition to Convert to a Provisional Application			Proprietary Information				
Affidavits/decla	aration(s)	Power of Attorney, Revocation Change of Correspondence Address			Status Letter				
Extension of Time	· Request	Termina	Terminal Disclaimer		Other Enclosure(s) (please identify below):				
Express Abandonn	ment Request	l <u> </u>	Request for Refund CD, Number of CD(s)			return postcard; Request for Corrected Filing Receipt;			
☐ Information Disclos	sure Statement	l				copy of Filing Receipt;			
Certified Copy of Priority Document(s)		Remarks The Commisioner is hereby authorized to charge any additional fees that may be required under 37 CFR 1.16 or 1.17 to Deposit Account No. 08-0750. A duplicate copy of this sheet is enclosed.							
Response to Missi Incomplete Applica	ing Parts/ ation								
Response to M Parts under 37 1.52 or 1.53									
	SIGNA	TURE OF /	APPLICANT, ATTORI	NEY, OF	≀ AGE				
Firm or Individual name	or Harness, Dickey & Pie		Attorney Name Timothy D. MacIntyre			Reg. No. 42,824			
Signature	H								
Date	Date April 30, 2004								
	С	ERTIFICAT	TE OF TRANSMISSIC	N/MAIL	ING				
I hereby certify that th Service with sufficient Alexandria, VA 22313-	nt postage as first o	class mail in	n an envelope addresse	USPTO o	or depor	sited with the United States Postal loner for Patents, P.O. Box 1450,			
Typed or printed name	-			Express Label No	Mail o.	EV 406 076 635 US (4/30/2004)			

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Signature

April 30, 2004

Date